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Attorney Docket No. UNI.20

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: QIU *et al.*  
SERIAL NO.: 10/039,752  
FILED: JANUARY 2, 2002  
TITLE: PROCESS FOR THE PREPARATION  
OF IMMUNOMODULATORY  
POLYSACCHARIDES FROM ALOE

EXAMINER:  
ART UNIT: 1651  
CONFIRMATION NO.: 1617

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attn: Box Missing Parts

Dear Sir:

**REPLY TO NOTICE TO FILE MISSING PARTS**

The applicant hereby replies to the "Notice to File Missing Parts of Nonprovisional Application," mailed March 27, 2002. A copy of the Notice is enclosed. In reply to the Notice, the applicant submits:

- (a) the basic filing fee of \$740.00;
- (b) surcharge fee of \$130.00;
- (c) additional claims fee of \$18.00;
- (d) a combined declaration and power of attorney signed by **Zhihua Qui**;
- (e) a combined declaration and power of attorney signed by **Belaid Mahiou**;
- (f) a combined declaration and power of attorney signed by **Abeyasinghe Padmapriya and Tom Farrow**.

Enclosed is a check for \$888.00. The check covers the filing fee (\$740.00), the surcharge (\$130.00), and the additional claims fee (\$18.00).

An Information Disclosure Statement, PTO Form 1449 and copies of the art cited thereon is submitted for filing in the referenced application.

The undersigned hereby authorizes the charge of any required fees not included or any deficiency of fees submitted herewith to be charged to deposit account No. 19-5117.

37 CFR 1.8

**CERTIFICATE OF MAILING**

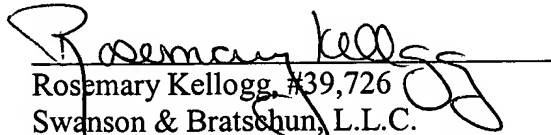
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on May 28, 2002

Signature: Tracy E. Crispino

Name: Tracy E. Crispino

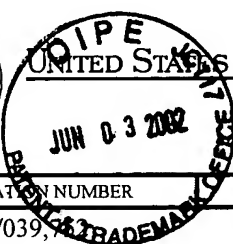
Respectfully submitted,

Date: May 28, 2002

  
Rosemary Kellogg, #39,726  
Swanson & Bratschun, L.L.C.  
1745 Shea Center Drive, Suite 330  
Highlands Ranch, Colorado 80129  
Telephone: (303) 268-0066  
Facsimile: (303) 268-0065

cc: Qi Jia

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/039,752	01/02/2002	Zhihua Qiu	UNI.20

25871

SWANSON & BRATSCHEUN L.L.C.  
1745 SHEA CENTER DRIVE  
SUITE 330  
HIGHLANDS RANCH, CO 80129

CONFIRMATION NO. 1617

## FORMALITIES LETTER



\*OC000000007724365\*

Date Mailed: 03/27/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$18.  
    ■ \$18 for 1 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 888.**

*A copy of this notice **MUST** be returned with the reply.*

06/05/2002 HBERHE 00000041 10039752  
01 FC:101  
02 FC:105  
03 FC:103

740.00 OP  
130.00 OP  
18.00 OP

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE